

01-02-01

PTO/SB/05 (4/98)

Please type a plus (+) sign in this box → +

Approved for use through 09/30/2000. OMB 0651-0032
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. M61.12-0324

First Inventor or Application Identifier Philipp H. Schmid

Title CONTEXT FREE GRAMMAR ENGINE FOR SPEECH RECOGNITION

Express Mail Label No. EL636003915US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Sheets 44]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]
4. Oath or Declaration [Total Sheets 3]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. *Small Entity Statement filed in prior application.
Statement(s) Status still proper and desired.
(PTO/SB/09-12)
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation -in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

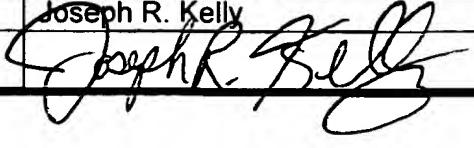
17. CORRESPONDENCE Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

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City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222	Fax	(612) 334-3312

Name (Print/type) Joseph R. Kelly Registration No. (Attorney/Agent) 34,847

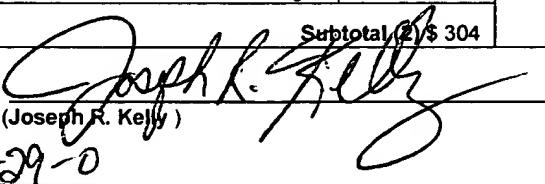
Signature  Date 12/29/00

JC962 U.S. PTO
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FEE TRANSMITTAL

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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Code</th> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>710</td> <td>201</td> <td>355</td> <td><input checked="" type="checkbox"/> Utility Filing Fee</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td><input type="checkbox"/> Design Filing Fee</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td><input type="checkbox"/> Reissue Filing Fee</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td><input type="checkbox"/> Prov. 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Signature



(Joseph R. Kelly)

Reg. No. 34,847

Deposit Account No. 23-1123

Date 12-29-00

WESTMAN, CHAMPLIN & KELLY

A PROFESSIONAL ASSOCIATION

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DAVID D. BRUSH
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(612) 334-3312 FACSIMILE

ROBERT M. ANGUS
SENIOR COUNSEL

VISALA CHEPURI GOSWITZ, PH.D.
PATENT AGENT

Express Mailing No. : EL636003915US
Date of Deposit: December 29, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: New U.S. Patent Application of:
Applicant: Philipp H. Schmid et al.
For : CONTEXT FREE GRAMMAR ENGINE FOR SPEECH
RECOGNITION SYSTEM
Our File : M61.12-0324

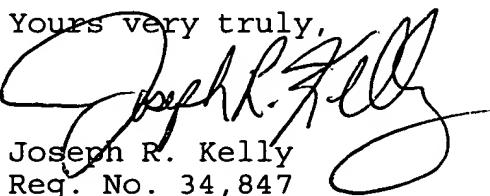
Dear Sir:

Enclosed for filing are the following papers in connection
with the above-identified patent application:

1. Complete specification and claims.
34 pages Specification
9 pages claims
1 page Abstract
2. Executed Combined Declaration and Power of Attorney
(3 pages).
3. 8 sheets of drawings.
4. Fee Calculation Sheet (Duplicate)
5. Filing Fee (\$1014.00) Check Enclosed.

A filing date under 37 CFR §§ 1.10(b) and 1.53(b) of December 29, 2000 is respectfully requested. The enclosed materials are being sent "Express Mail Post Office to Addressee" as of the date of this letter.

Yours very truly,



Joseph R. Kelly
Reg. No. 34,847

JRK:slg
Enc.